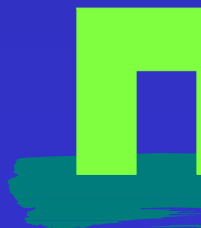


How to evaluate environmental risks of exotic biological control agents: the OECD & ERBIC experience

J.C. van Lenteren
Laboratory of Entomology
Wageningen University
The Netherlands



Kiel, November 2004



How to evaluate environmental risks of exotic bc agen

Biological control for sustainable pest management, but....

Is risk assessment by regulation of imports a solution?

How to evaluate environmental risk?

Host-range testing as focal point of environmental risk assessment?

Biological control for sustainable pest management, but

Biological control often said to be:

Environmentally safest form of pest management

Most cost-effective form of pest management

Most durable form of pest management (once good, always good)

Highest success rate per tested “active ingredient”



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Highest success rate per tested “active ingredient”

However, during past decades increasing criticism from environmentalists:

Mistakes occur frequently, and ...

If something goes wrong, repair is difficult/impossible

If something goes wrong.....

Asian ladybird beetle *Harmonia axyridis* recent release in Euro

aded Holland, dispersed very quickly and established

perience in North America:

ry polyphagous, reduction of other ladybird beetles, and attack
of many non-targets

ssive invasion of houses in fall

hen prey is gone, goes to fruit
sugar (grapes > bad wine)



Recorded risk of biological control agents

Since 1888:

- > 6000 introductions of exotic agents worldwide
- > 1500 (25%) established
- > 525 (9%) success (35% of established)
(in chemical control 0.003% success)

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- Since 1888:
- > 6000 introductions of exotic agents worldwide
 - > 1500 (25%) established
 - > 525 (9%) success (35% of established)
(in chemical control 0.003% success)
 - > 700 species of biocontrol agents involved in (CABI)
 - 80 % parasitoids
 - 18 % predators
 - 2 % pathogens
 - > 150 species used in commercial Inundative B

Biocat (CABI) data analysed (5300 cases): < 100 non-target effects listed, but often information is lacking

Recorded risk of biological control agents

Biocat analysis (Lynch et al., 2001):

< 8% of classical biocontrol introductions have non-target effects

< 1.4% with mortality effect of > 40% (= population reduction)

Non-target effects often caused by natural enemies that establish, but do not lead to control of target...

Poor agent choice: poor effectiveness, poor host-range testing, higher risk of non-target effects

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risk assessment by regulation of imports a solution

registration of parasitoids and predators until recently

very few mistakes

but as biocontrol is now also applied by non-professionals: more risk, so better guidance needed

increasing number of countries develop ruling (25 out of >200)

Ruling delayed introduction of agents, but did not result in lower number of introductions (FAO, CABI 2004)

Examples of “old” guidelines / regulations

INTERNATIONAL STANDARDS FOR PHYOSANITARY MEASURES

PART 1 - IMPORT REGULATIONS

CODE OF CONDUCT
FOR THE IMPORT AND RELEASE
OF EXOTIC BIOLOGICAL CONTROL AGENTS



- FAO code of conduct
- Very, very general
 - But is being revised presently and more specific

Others:

Very general:
USA, CABI

Very specific:
Australia, New Zealand

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How to evaluate environmental risk?

With OECD group we studied all existing guidelines/regulations (30) and came to following design:

Characterization of natural enemy (taxonomy, biology)

Human health risks (limited info asked)

Environmental risk assessment (lot of info asked, but dependent on context)

Efficacy (limited info: significant reduction in pest population)

How to evaluate environmental risk?

In an OECD working group we developed a general framework for environmental risk assessment (Anonymous, 2004 via www.oecd.org)

OECD Environment, Health and Safety

Series on Pesticides

No. 21

- 4. Information requirements
- 4.1 Information for assessment of characterisation and identification
- 4.1.1 Identity
- 4.1.2 Biology and ecology of the agent
- 4.2 Information for assessment of safety and effects on human health
- 4.3 Information for assessment of environmental risks.....
- 4.3.1 Procedures for testing direct effects.....
- 4.3.2 Available information on potential for establishment and dispersal of biological control agent
- 4.3.3 Available information on possible indirect effects
- 4.3.4 Available information on environmental benefits
- 4.3.5 Summary of information for assessment of environmental risks
- 4.4 Information for assessment of efficacy, quality control and benefits of use.....
- 4.4.1 Efficacy
- 4.4.2 Methods for evaluation of quality control
- 4.4.3 Benefits of use.....

**Guidance for Information Requirements for
Assessment of Invertebrates as Biological Control Agents (IBCA)**

History and work of OECD group

Canada-OECD proposed to develop guideline for regulation of macro's

OECD was working already on guideline for microbials and pheromones (botanical insecticides?)

OECD idea for macro's was explained to ERBIC group during meeting in UK, February 1999

Initially no biologists in OECD working group; only regulators, made some of us decide to take part, so regulation would be based also on science and biocontrol practical experience

At first, biocontrol industry was not involved, later representative of IBMA and ANBP took part in meetings: 10 WG meetings

Collaboration not always optimal, but hard work of biocontrol scientist and industry representatives resulted in many adaptations: see it as work in progress



How to evaluate environmental risk?

a European Commission funded project (ERBIC) we developed ideas for a quantitative environmental risk assessment

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SYMPOSIUM

Environmental risk assessment of exotic natural enemies used in inundative biological control

VAN LENTEREN¹, D. BABENDREIER², F. BIGLER², G. BURGIO³,
M.T. HOKKANEN⁴, S. KUSKE², A.J.M. LOOMANS¹,
M. MENZLER-HOKKANEN⁴, P.C.J. VAN RIJN⁵, M.B. THOMAS⁵,
G. TOMMASINI⁶ and Q.-Q. ZENG⁴

BioControl

Organization for Biological Control



February 2004)
BOCOFW

Publishers

RBIC = Evaluating Environmental Risks of Biological Control Introductions into Europe: activities

Objectives:

**determine positive and negative effects of biological
control for agriculture, the environment and biodiversity**

**develop methods to assess the potential risk of import
and release of biocontrol agents**

**draft guidelines to ensure that biological control agents
to be introduced are environmentally safe**

RBIC = Evaluating Environmental Risks of Biological

Control Introductions into Europe: activities

to achieve objectives, specific case studies of four systems were done:

Exotic / endemic host specific *Encarsia* parasitoids

Exotic / endemic generalist *Trichogramma* parasitoids

Exotic / endemic generalist *Orius* predators

Exotic / endemic generalist entomopathogens: fungi and nematodes

ERBIC = Evaluating Environmental Risks of Biological Control Introductions into Europe: activities

With results of case studies we (ERBIC) defined ecological context and the selection of appropriate non-target species

Host-specificity testing

Natural enemy establishment capability

Natural enemy dispersal capability

Direct effects on the non targets

Indirect effects on the non targets

Risk assessment methodology

How to evaluate environmental risk?

Identify environmental risks of introducing exotic natural enemies by determining:

non-target host range

establishment in non-target habitat

dispersal to non-target habitat

direct effects on non-target organisms

indirect effects on non-target organisms

Host range of exotic is focal point of environmental risk assessment

If natural enemy is very target host specific: risk low

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Host range testing as focal point of environmental risk

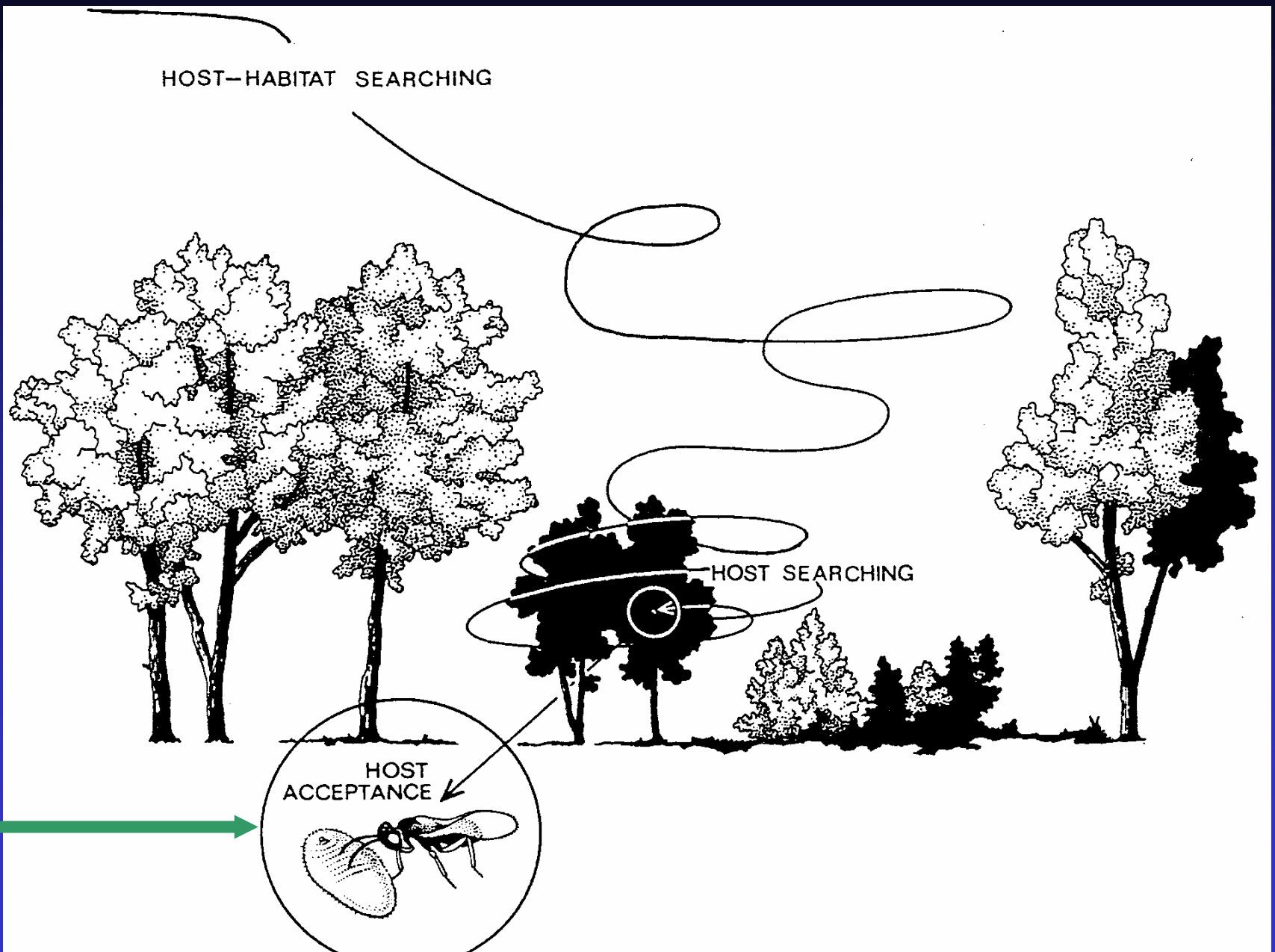
Considerations when developing host range testing:

Host range tests aim to demonstrate if a natural enemy can feed, kill, develop or reproduce on a non-target species **under natural conditions**

Knowledge of biology and behaviour of the natural enemy in its natural environment is essential when designing host range tests.

Often utterly simple set-ups ignoring biology and resulting in irrelevant results (over estimation of host range)

How natural enemy behaviour in natural environment



ERBIC = Evaluating Environmental Risks of Biological Control Introductions into Europe: activities

**With the ERBIC group we published (among others....100 other
publications....) a proposal for a quantifiable environmental
risk assessment**

**Could present it here, but we are revising it and later this morn
you will hear more about it (Loomans)**

Host range testing: interpretation of results

Most difficult groups for interpretation of host range data:
more pronounced oligophagous = slightly polyphagous
biological control agents

Intuition, feeling, modeling, theory and experience all indicate that :
these are not very efficient natural enemies, and
may also show more severe non-target effects when compared
to strongly polyphagous and monophagous species

Non-target effects of this group of natural enemies needs to be
studied with high priority

One or more non-targets are attacked, what next?

Depending on kind and number of non-target species attacked
Decision to not introduce OR to continue with risk assessment

For classical biocontrol agents estimate:

Direct and indirect non-target effects

For inundative biocontrol agents estimate:

Establishment in and dispersal to non-target habitat

Direct and indirect effects on non-target organisms

These estimates will - in relation to negative effects of other
control methods - lead to conclusion (but): go / no go

What next?

by this group>>

Environmental
Impact of
Vertebrates for
Biological Control
of Arthropods:
Methods and Risk
Assessment

near June 2005

WPRS 2004:

Commission on
Harmonisation of
Regulation of
Pesticides (Bigler)



**Who has documented example of host shift, or
change in host range??**

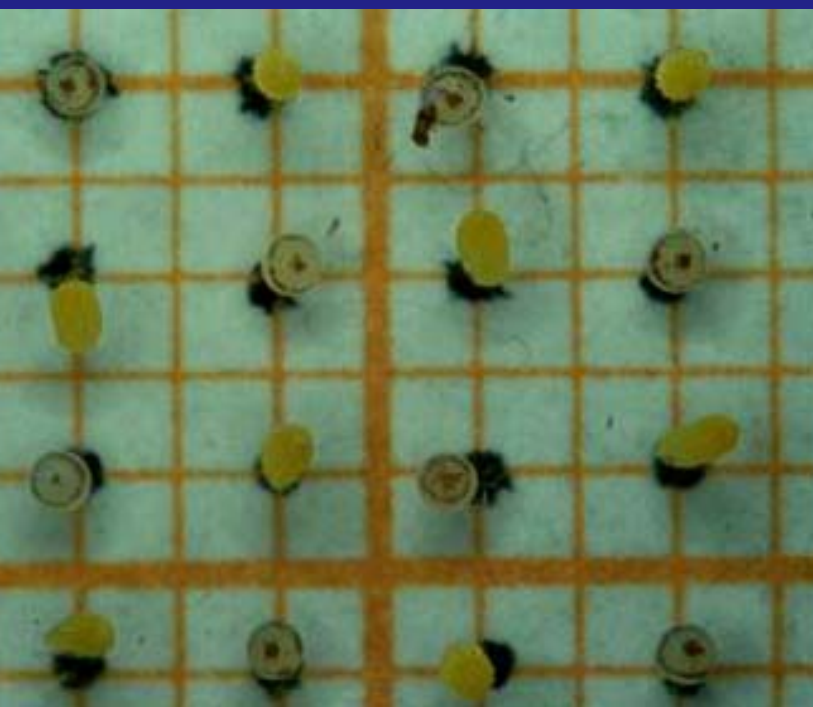
**For information and reprints
Joop.vanLenteren@wur.nl**

**Become member of IOBC-Europe!!!
www.iobc-wprs.org**

Considerations when developing host range testing

Know natural enemy foraging behaviour

Host habitat searching, host searching and host acceptance
one phase is skipped, more hosts might be accepted than in
natural situation >> irrelevant results



Considerations when developing host range testing

Know natural enemy foraging behaviour in its environment

Quality and (history of) rearing conditions of the host plant, host and natural enemy

if not good or not specified: irrelevant results

Genetic changes

Changes always occur while rearing in lab.: irrelevant results

Unnatural hosts, artificial diets

Change in responses of natural enemies: irrelevant results

Host or natural enemy infection with pathogens

Change in response to hosts: irrelevant results



Considerations when developing host range testing

Behavioural variation in natural enemies: know it's origin!!

Genetically fixed or phenotypically plastic behaviour (learn

Physiological condition of natural enemy (food etc.)

Test in relevant multitrophic perspective

All relevant stimuli should be present (often stimuli poor situations are used..)

Host sufficiently long on host plant to produce herbivore induced synomones

Always work with:

Positive controls (exposure of target host with natural enemy)
tells whether natural enemy is in good condition

Negative controls (exposure of hosts without natural enemy)
tells whether hosts/ host plants are in good condition

Considerations when developing host range testing

Choice of relevant non-target species: difficult / important

Related species, species in similar habitat, and species of conservation concern

Consult insect biocontrol specialists that testing of up to 10 non-target species is realistic

Be aware of confusing effects of test design

Overestimated host ranges

- non-host attacked in absence of natural host
- non-host attacked in close proximity to natural host

Underestimated host ranges

- valid, but less preferred host neglected in presence of preferred host

Host range testing scheme

Small arena no-choice black-box test: > No > Low hazard, **stop testing**

are non-target species attacked?

Yes \longrightarrow many > > High hazard, **stop testing**

 ↓ few

Small arena no-choice behavioural test

Host range testing scheme

Small arena no-choice black-box test: > No > Low hazard, stop testing
are non-target species attacked?

Yes → many > High hazard, stop testing
↓ few

Small arena no-choice (sequent.) behav. test: > No, or low rate, > Low hazard, stop testing
are non-target species attacked?

Yes, at constant fixed rate → many > High hazard, stop testing
↓ few

Host range testing scheme

Small arena no-choice black-box test: > No > Low hazard, **stop testing**
are non-target species attacked?

Yes → many > High hazard, **stop testing**
↓ few

Small arena no-choice (sequent.) behav. test: > No, or low rate, > Low hazard, **stop testing**
are non-target species attacked?

Yes, at constant fixed rate → many > High hazard, **stop testing**
↓ few

Large arena no-choice behavioural test: > No, or low rate > Low hazard, **stop testing**
are non-target species attacked?

Yes, at constant fixed rate → many > High hazard, **stop testing**
↓ few

Large arena whole plant choice test: > No, or low rate > Low hazard, **stop testing**
are non-target species attacked?
and no switch in preference

Yes, easy or switch in preference → **Stop testing** > High hazard
↓ **In rare cases**

Field test > No > Low hazard
are non-target species attacked?

1. Risk identification and evaluation

Identify risks of introducing exotic natural enemy

Establishment in non-target habitat

Dispersal to non-target habitat

Non-target host range

Direct effects on non-target organisms

Indirect effects on non-target organisms

Determine **likelihood** of each of the risks

Determine **magnitude** of each of the risks

Very limited information for biocontrol risk assessments!!)

Risk assessment

Development of criteria to evaluate **likelihood of risks:**

Establishment:

Dispersal:

Non-target host range: 0, 1-3, 4-10, 11-30, > 30 species

Direct effects: very unlikely, unlikely, possible, likely, very likely

Indirect effects:



Risk assessment

Development of criteria to evaluate **likelihood of risks:**

Establishment:

Dispersal:

Non-target host range: 0, 1-3, 4-10, 11-30, > 30 species

Direct effects: very unlikely, unlikely, possible, likely, very likely

Indirect effects:

Development of criteria to evaluate **magnitude of risks:**

Establishment:

Dispersal:

Non-target host range: species, genus, family, order, none

Direct and Indirect effects:

1. Risk identification and evaluation

Based on the information on likelihood and magnitude, an expert judgement can be made concerning risk of release

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They went a step further and developed a risk index by giving a numerical value to each class of likelihood and magnitude

Example for non-target host range



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Based on the information on likelihood and magnitude, an expert judgement can be made concerning risk of release

They went a step further and developed a risk index by giving a numerical value to each class of likelihood and magnitude

Example for non-target host range

Likelihood: host range: 0, 1-3, 4-10, 11-30, > 30 species

Numerical value 1 2 3 4 5

Magnitude: host range: species, genus, family, order, none

Numerical value 1 2 3 4 5

Risk index non target host range: $5 \times 2 = 10$

1. Risk identification and evaluation

Maximum risk index per criterion: 5 (likelihood) x 5 (magnitude)

Maximum risk index for all criteria (dispersal, establishment, host range, direct and indirect effects) : $25 + 25 + 25 + 25 + 25 = 125$

applied this method of determining risk to a number of natural enemies

Risk indices for biocontrol agents

